

Chicken Soup for the Busy Coordinator



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Biological Samples Non-Compliances: Lessons Learned

Professor Z encountered a cascade of biological sample management issues that threatened research integrity and safety when transporting the biological samples to the laboratory for his new research study.







Faulty cooling devices



Principal investigator (PI) Prof Z

WHAT WENT WRONG?



- Insufficient/ inaccurate sampling
- Containment failures in transport due to spillage
- Temperature control breakdown
- Protocol deviation in sample processing

WHAT WAS THE IMPACT?

- Biohazard exposure risks from spillage
- Samples unusable from temperature fluctuations affecting result validity
- Participant burden samples collected but unusable
- Compromised data reliability

AS A RESULT...

- This lead to multiple non-compliances reported to the Institutional Review Board (IRB) for failure to adhere to the approved protocol and applicable regulations.
- The PI & study team would need to review its management of biological samples and implement a Corrective & Preventive Action (CAPA) Plan.

HOW TO PREVENT NON-COMPLIANCES? THE 4C APPROACH



CHECK

- Verify sample labels using 2-person check
- Inspect container integrity
- Test monitoring devices
- Review protocols regularly



CONTAIN

- Use certified transport containers
- Complete seal verification
- Maintain spill kits
- Calibrate equipment



CONTROL

- Install backup cooling systems
- Monitor temperatures real-time
- Follow standard workflows
- Document processing steps



COMMUNICATE

- Implement clear labelling procedures
- Follow transport guidelines
- Document processing protocols
- Report incidents promptly

Refer to Institution's SOPs for more information on proper biological sample management.

References: PCR SOP 501-C05 Biological Specimen Collection and Handling